

## SETTLEMENT AGENTS

Section 54(3) of the *Settlement Agents Act 1981*

### CHANGE OF AUDITOR REQUEST FORM

Please lodge this form by email to [audits@lgirs.wa.gov.au](mailto:audits@lgirs.wa.gov.au), by fax (08) 6251 2801, or by post to Locked Bag 14 Cloisters Square Perth WA 6850.

| Part 1 – Agent details                                                                                                                                                                                                                                                                                            |      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Licensed agent name                                                                                                                                                                                                                                                                                               |      |
| Business / trading name                                                                                                                                                                                                                                                                                           |      |
| Address                                                                                                                                                                                                                                                                                                           |      |
| Triennial certificate number                                                                                                                                                                                                                                                                                      | SA   |
| Person in bona fide control                                                                                                                                                                                                                                                                                       |      |
| Telephone number                                                                                                                                                                                                                                                                                                  |      |
| Email address                                                                                                                                                                                                                                                                                                     |      |
| Part 2 – Agent request                                                                                                                                                                                                                                                                                            |      |
| <p>I (print full name) .....</p> <p>request the approval of the Commissioner for Consumer Protection to change the auditor for the trust account/s of</p> <p>(licensed agent name) .....</p> <p>from (outgoing auditor) .....</p> <p>to (incoming auditor) .....</p> <p>because (reason/s) .....</p> <p>.....</p> |      |
| SIGNATURE OF LICENSEE                                                                                                                                                                                                                                                                                             | DATE |

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| Part 3 – Outgoing auditor details                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                |                          |                                                                                                |                          |                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|--------------------------|------------------------------------------------------------------------------------------------|--------------------------|--------------------------------------------------------------------------|
| Auditor name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Of (firm name)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Registered auditor number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Telephone number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Email address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Part 4 – Outgoing auditor statement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                |                          |                                                                                                |                          |                                                                          |
| <p>I (print full name) _____</p> <p>hereby notify the Commissioner for Consumer Protection (the Commissioner) that I relinquish statutory responsibility for the auditing of the trust account of</p> <p>(licensed agent name) _____</p> <p>I also advise that (please tick one)</p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>there is nothing that I am aware of that should be brought to the Commissioner's attention; or</td> </tr> <tr> <td><input type="checkbox"/></td> <td>the following matters should be brought to the Commissioner's attention:</td> </tr> </table>                                                                       |                                                                                                | <input type="checkbox"/> | there is nothing that I am aware of that should be brought to the Commissioner's attention; or | <input type="checkbox"/> | the following matters should be brought to the Commissioner's attention: |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | there is nothing that I am aware of that should be brought to the Commissioner's attention; or |                          |                                                                                                |                          |                                                                          |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | the following matters should be brought to the Commissioner's attention:                       |                          |                                                                                                |                          |                                                                          |
| <table border="1"> <tr> <td>SIGNATURE</td> <td>DATE</td> </tr> </table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                | SIGNATURE                | DATE                                                                                           |                          |                                                                          |
| SIGNATURE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | DATE                                                                                           |                          |                                                                                                |                          |                                                                          |
| Part 5 – Incoming auditor details                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Auditor name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Of (firm name)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Registered auditor number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Telephone number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Email address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                |                          |                                                                                                |                          |                                                                          |
| Part 6 – Incoming auditor statement and undertaking                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                |                          |                                                                                                |                          |                                                                          |
| <p>I hereby notify the Commissioner for Consumer Protection of my consent to the appointment as auditor of all trust accounts held by the agent nominated in Part 1 of this form ("the Agent"). I confirm that I am not related by blood, marriage or de facto relationship and have not had any business dealings with the Agent, or anyone working with the Agent. I undertake to disclose to the Commissioner any business dealings I have with or through the Agent at any time during my appointment as auditor. I understand that my appointment as auditor for the Agent is continuous unless the Commissioner approves a subsequent change in the appointment.</p> |                                                                                                |                          |                                                                                                |                          |                                                                          |
| <table border="1"> <tr> <td>SIGNATURE</td> <td>DATE</td> </tr> </table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                | SIGNATURE                | DATE                                                                                           |                          |                                                                          |
| SIGNATURE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | DATE                                                                                           |                          |                                                                                                |                          |                                                                          |